



Integration Joint Board
Audit and Risk Committee

11th March 2019

This Report relates to
Item 6 on the Agenda

Improving Information/Data Sharing Update

(Paper presented by Graham Gault)

For Noting

Approved for Submission by	Katy Lewis, Chief Finance Officer IJB
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List of Background Papers	None
Appendices	Appendix 1 – Scrutiny Recommendations

Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	X
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

SECTION 1: REPORT CONTENT

Title/Subject: Improving Information / Data Sharing Update

Meeting: IJB Audit and Risk Committee

Date: 11th March 2019

Submitted By: Graham Gault & Graeme McIlorum

1. Introduction

- 1.1. This report presents an update from a series of recommendations made by the Audit, Risk and Scrutiny Committee meeting of 10 April 2018 (with full Committee update in December 2018) where it was agreed to undertake a review to improve our support to vulnerable people through improved data sharing arrangements

2. Recommendations

- 2.1. **The Audit and Risk Committee is asked to discuss and note:**

- **The report presented to Dumfries & Galloway Council's Full Council meeting on 18th December 2019 (attached as Appendix)**
- **Further progress as outlined from Paragraph 4.8**

3. Background

- 3.1. The DGC Audit, Risk and Scrutiny Committee agreed to undertake a review to improve information data sharing, with the original Scope agreed by the that Committee on 10 April 2018.

- 3.2. Review Scope's purpose and objectives were:

- The purpose of this Review is to improve our support to vulnerable people through improved data sharing arrangements and make recommendations to Full Council.
- The Audit, Risk and Scrutiny Committee will understand how to improve data sharing arrangements to support the work of Health and Social Care and improve outcomes for people by considering the following objectives:
- Review Objectives:
 - Understanding legislation that applies to both data sharing and Health and Social Care Integration (HSCI).
 - Reviewing the current arrangement in the HSCI Scheme for information-sharing and data handling and progress.
 - Identifying if there are barriers to sharing information and where these exist.

- Identifying the benefits that will be realised from data sharing.
- Recommending what is required to improve the Council's data sharing arrangements.

4. Main Body of the Report

Recommendations

4.1. Following a series of workshops the following recommendations were agreed by the Audit, Risk and Scrutiny Committee on 27 September 2018:

4.2. Recommendation 1:

- Electronic information data sharing systems should be enabled to share basic information by the end of 2018. This should include the assurance that information sharing agreements are in place and approved by Dumfries and Galloway Council Legal Services

4.3. Recommendation 2:

- Dumfries and Galloway Council should ensure the reporting and monitoring of the transition phase, which will include staff from the Council and NHS Dumfries and Galloway sharing increased amounts of information through a secure system

4.4. Recommendation 3:

- Consider the scope for improving data sharing further across all sectors beyond 2019 as confidence and initial benefits are realised

4.5. Recommendation 4:

- To make full use of mobile technologies for practitioners in the field to access and update data for clients

4.6. Recommendation 5:

- Develop a single consent process for clients, including a review and update process for additional permissions and changes to circumstances

4.7. Recommendation 6:

- Through improved data sharing, maximise the opportunity to schedule work across the Council and NHS Dumfries and Galloway in the most efficient and effective way to benefit the user

Update on progress

4.8. The dependency of the work on the implementation of Recommendation 1 requires the creation of an electronic information exchange. This has now partly been completed with CHI numbers seeded into the Mosaic System. Unfortunately the

reverse has not yet been granted (Mosaic Numbers seeded into the PORTAL) due to ongoing delays with the approval process of the Data Sharing Agreement approval within the DGC. So far this has been approximately 2 months delay.

- 4.9. As at the end of December 2018, the shared H&SC Portal has been modified to allow Social Care workers access to the Shared H&SC PORTAL. This has been demonstrated at the Data Sharing Committee on 14th January. A decision by practitioners is now being made to enliven the solution to a small number of integrated Teams where this would be tested with a view to rolling the system out to all SW users in the coming months.
- 4.10. Additional sharing requests have been made on the service to provide Health Staff direct access to Mosaic. This is now completed and access/training being planned.
- 4.11. Strategic development work on the other recommendations (specified for post 2019 delivery) is taking place, but is at a very early stage.

SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS

5. Resource Implications

- 5.1. To implement Recommendation 1 it is currently anticipated that delivery will be contained within the current resource in both organisations. However as the more granular programme for works for the remaining recommendations has not yet been defined, the resource requirement at this stage is still unclear.

6. Impact on Integration Joint Board Outcomes, Priorities and Policy

- 6.1. No impact is envisaged with the work undertaken to date.

7. Legal & Risk Implications

- 7.1. Throughout this review, and for the proposed solutions risks associated with the legal agreements and the secure management of personal data have been considered and addressed.

8. Consultation

- 8.1. The appropriate Management Teams have been consulted throughout the conduct of this Review.

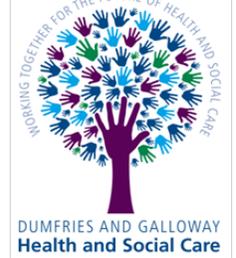
9. Equality and Human Rights Impact Assessment

- 9.1. As this report does not propose a change in policy/strategy/plan/project, it is not necessary to complete an Impact Assessment.

10. Glossary

- 10.1. HSCI - Health and Social Care Integration
10.2. CHI - Community Health Index

Dumfries and Galloway Integration Joint Board



DIRECTION

(ISSUED UNDER SECTIONS 26-28 OF THE PUBLIC BODIES (JOINT WORKING) (SCOTLAND) ACT 2014)

1.	Title of Direction and Reference Number	
2.	Date Direction Issued by Integration Joint Board	
3.	Date from which Direction takes effect	
4.	Direction to	
5.	Does this direction supersede, amend or cancel a previous Direction? If yes, include the reference number(s)	
6.	Functions covered by Direction	
7.	Full text of Direction	
8.	Budget allocated by Integration Joint Board to carry out Direction	
9.	Desired Outcomes	
10.	Performance Monitoring Arrangements	
11.	Date Direction will be Reviewed	