

**NHS Dumfries Galloway
Internal Audit Working Paper
Outstanding Actions IJB**

Audit	Fiscal Year	Coordinator	Action Plan Number	Priority	Expected Completion Date	Subject	Issue	Recommendation	Management Response	Action Plan Status Comments
2017 IJB-01-17 IJB Governance Arrangements	2017	Julie White	5	C - High	31/03/2018	Assurance Arrangements Action Plan - Committee Structures	There is a risk that assurances are not being delivered to the appropriate forum where committee business has not been aligned between the IJB and the NHS. This also poses a risk of duplication.	The IJB and NHS committees should be reviewed and their roles aligned to ensure that the appropriate information is being reported to the appropriate forum in accordance with the delegation of functions. It must also be ensured that while agendas may be amended, that assurance mechanisms are established to ensure the necessary feedback to partner agencies as set out by the Integration Scheme.	A review of governance arrangements is planned, which will include a review of both the NHS and IJB Committees to streamline processes to ensure effective use of each committee going forward. Evidence required: We would expect to see the Terms of Reference of committees within the revised structure clearly detail the role and remit of each committee and assurances to be delivered.	A review of IJB governance arrangements has been commenced by the Chief Officer with a report due to be presented at the April 2019 IJB
2017 IJB-01-17 IJB Governance Arrangements	2017	Maureen Stevenson	9	B - Medium	31/12/2017	Risk & Performance Management Action Plan - Risk Management Monitoring	There is a risk that risk management monitoring arrangements are not actioned as described by the Risk Management Strategy. This arises following a discrepancy in what has been set out and that discussed at H&SCSMT for exception reporting.	It must be ensured that the risk management monitoring arrangements stipulated by the Risk Management Strategy are implemented as described or the necessary amendments made to reflect how assurances are intended to be delivered in practice.	Paper to be presented to IJB Audit and Risk Committee in September providing information on corporate risk register and ongoing plans to develop risk. Evidence required: We need confirmation and evidence that the Risk Management processes detailed within the Risk Strategy are being followed. This is not currently in place.	The IJB risk register was presented at the IJB A&R committee in December and shared with all IJB members for input and comment.