



Integration Joint Board
Audit and Risk Committee

11th March 2019

This Report relates to
Item 8 on the Agenda

Outstanding Audit Actions Update

(Paper presented by Katy Lewis)

For Discussion and Noting

Approved for Submission by	Katy Lewis, Chief Finance Officer
Author	Katy Lewis, Chief Finance Officer
List of Background Papers	None
Appendices	Appendix 1 - Governance Arrangement Internal Audit Report Appendix 2 - update on the two remaining actions

Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	X
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

SECTION 1: REPORT CONTENT

Title/Subject: Outstanding Audit Actions Update

Meeting: IJB Audit and Risk Committee

Date: 11th March 2019

Submitted By: Katy Lewis, Chief Finance Officer

Action: For Discussion and Noting

1. Introduction

- 1.1 This report provides an update on progress of implementation of a range of improvement actions identified by internal audit during a 2017 review of governance arrangements as commissioned by the IJB during its initial years of operation.

2. Recommendations

- 2.1 **The Audit and Risk Committee is asked to:**
- 2.2 **Discuss and note the Outstanding Audit Actions Update and the updates provided.**

3. Background

- 3.1 The IJB commissioned from Internal Audit a review of its governance arrangements following is set up in 2016/17. Some of this work has subsequently been updated by the recent Audit Scotland Review and also Ministerial Steering Group review of IJB's but the original recommendations remain relevant.

4. Main Body of the Report

- 4.1 The scope of the review commissioned during 2016/17 financial year was to provide assurance that the governance, assurance, risk and performance management arrangements for the Integration Joint Board meet relevant guidance and provide the required assurances.

- 4.2 The audit objectives as set out in the report are as follows:

- To establish the processes around the preparation of the IJB Strategic Plan.
- To confirm that the requirements for a post-implementation review have been met.
- To establish the assurance arrangements for the key strands of governance.
- To establish the arrangements for risk management and performance management monitoring and reporting.

- 4.3 A copy of the full report and its findings are attached at appendix 1.
- 4.4 The report identified nine recommendations of which (at the time of writing this report) two remain outstanding. These relate to the governance review which is being undertaken by the Chief Officer, with an update scheduled for presentation at the 3rd April IJB and the update to the risk management strategy.
- 4.5 Further details of the outstanding actions is included in appendix 2.

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SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS

5. Resource Implications

5.1. There are no financial implications identified.

6. Impact on Integration Joint Board Outcomes, Priorities and Policy

6.1. Not applicable.

7. Legal & Risk Implications

7.1. Not applicable.

8. Consultation

8.1. The Chair of the IJB Audit and Risk Committee and the Chief Officer are provided with updates on a regular basis.

9. Equality and Human Rights Impact Assessment

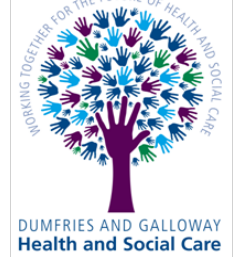
9.1. Not applicable.

10. Glossary

IJB	-	Integration Joint Board
COSLA	-	Convention of Scottish Local Authorities

Dumfries and Galloway Integration Joint Board

DIRECTION



(ISSUED UNDER SECTIONS 26-28 OF THE PUBLIC BODIES (JOINT WORKING) (SCOTLAND) ACT 2014)

1.	Title of Direction and Reference Number	
2.	Date Direction Issued by Integration Joint Board	
3.	Date from which Direction takes effect	
4.	Direction to	
5.	Does this direction supersede, amend or cancel a previous Direction? If yes, include the reference number(s)	
6.	Functions covered by Direction	
7.	Full text of Direction	
8.	Budget allocated by Integration Joint Board to carry out Direction	
9.	Desired Outcomes	
10.	Performance Monitoring Arrangements	
11.	Date Direction will be Reviewed	