



Integration Joint Board

29th May 2019

This Report relates to
Item 5 on the Agenda

Self-evaluation for the Review of Progress with the Integration of Health and Social Care

(Paper presented by Ananda Allan)

For Approval

Approved for Submission by	Julie White, Chief Officer
Author(s)	Katy Lewis, Chief Finance Officer Viv Gration, Strategic Planning and Commissioning Manager Ananda Allan, Performance and Intelligence Manager George Noakes, Assistant Performance and Intelligence Manager
List of Background Papers	<ul style="list-style-type: none"> • Audit Scotland, Health and social care integration: Update on progress (November 2018) Available here: http://www.audit-scotland.gov.uk/uploads/docs/report/2018/nr_181115_health_socialcare_update.pdf • Ministerial Strategic Group for Health and Community Care, Review of Progress with Integration of Health and Social Care: Final Report (February 2019) Available here: https://www.gov.scot/publications/ministerial-strategic-group-

	health-community-care-review-progress-integration-health-social-care-final-report/
Appendices	<p>Appendix 1 - Dumfries and Galloway Health and Social Care Partnership Self-evaluation for the Review of Progress with Integration of Health and Social Care, April 2019</p> <p>Appendix 2 - Membership of the Self Assessment Working Groups</p>

Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	X
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

SECTION 1: REPORT CONTENT

Title/Subject:	Self-evaluation for the Review of Progress with the Integration of Health and Social Care
Meeting:	Integration Joint Board
Date:	29 th May 2019
Submitted By:	Ananda Allan, Performance and Intelligence Manager
Action:	For Approval

1. Introduction

- 1.1 Audit Scotland published the report Health and Social Care Integration: Update on Progress in November 2018.
- 1.2 Integration Authorities have been asked to submit a self assessment in relation to the progress towards the integration of health and social care by 15th May 2019.
- 1.3 On 26th April 2019, the IJB Performance and Finance Committee agreed to submit a draft self assessment to Scottish Government within this timescale, subject to final approval by the Integration Joint Board on 29th May 2019.
- 1.4 The draft self assessment was circulated for further consultation.
- 1.5 The completed draft self assessment, evidence and actions are detailed in **Appendix 1**.

2. Recommendations

- 2.1 **The Integration Joint Board is asked to:**
 - **Note and discuss the draft self assessment**
 - **Approve the submission of the final self assessment to Scottish Government**

3. Background

- 3.1 Audit Scotland published the report Health and Social Care Integration: Update on Progress in November 2018.
- 3.2 This report highlighted the welcome improvements Integration Authorities have delivered within the current legislative framework. The report also acknowledged the

extremely challenging environment partnerships were operating within and that significant barriers must be overcome to speed up change.

- The Audit Scotland report made recommendations following 6 themes:
- Commitment to collaborative leadership and building relationships
- Effective strategic planning for improvement
- Integrated finances and financial planning
- Agreed governance and accountability arrangements
- Ability and willingness to share information
- Meaningful and sustained engagement

3.3 The Ministerial Strategic Group for Health and Community Care (MSG) issued a response to the Audit Scotland report, Review of Progress with Integration of Health and Social Care, in February 2019.

3.4 The MSG report further recognises the good practice developing, both in terms of how Integration Joint Boards (IJBs) are operating, and in how services are being planned and delivered to ensure better outcomes.

3.5 The report acknowledges the challenges to be addressed, and sets out 25 “proposals” rather than “recommendations” to underline the shared endeavour of respective organisations. Three of these proposals are directed to national bodies.

4. Main Body of the Report

4.1 In February 2019, Dumfries and Galloway Health and Social Care Partnership developed a self-evaluation tool based on:

4.1.1 The recommendations of the Audit Scotland report

4.1.2 The draft proposals of the MSG report and

4.1.3 The Wallace Foundation Quality Measures Partnership Effectiveness Continuum tool

4.2 Two working groups were held on 8th and 22nd February 2019 to undertake an initial self evaluation exercise, following this process:

4.2.1 Those present at the working group discussed each recommendation, in regards to the effectiveness of arrangements within the partnership and what evidence or arrangements were in place

4.2.2 The individual self assessment responses were collated to demonstrate the range of opinions on how effectively each criteria was being met, ranging from Ineffective to Highly Effective

4.3 Two further working groups were held on 15th March 2019 and 1st April 2019 to identify further evidence, and actions in relation to the self evaluation.

4.4 An Integration Joint Board workshop was held on 15th April 2019 to review and discuss the findings of the working groups. This was a joint workshop with the NHS

Board and Local Authority with Audit Scotland invited to the session to update on the findings of their report on Health and Social Care Integration.

- 4.5 On 25th March 2019, the Director-General Health and Social Care and Chief Executive of NHS Scotland issued a self assessment template to Integration Authority Chief Officers, NHS Board Chief Executives, Local Authority Chief Executives and Integration Leadership Group members requesting that completed self-evaluations be submitted by 15th May 2019.
- 4.6 The overall assessment, showing the number of proposals scored as not yet established, partly established, Established or Exemplary is shown below.

Key Feature	Not yet established	Partly Established	Established	Exemplary
1: Collaborative leadership and building relationships	0	1	2	0
2: Integrated finances and financial planning	0	1	4	1
3: Effective strategic planning for improvement	0	0	2	1
4: Governance and accountability arrangements	0	2	3	0
5: Ability and willingness to share information	0	0	1	1
6: Meaningful and sustained engagement	0	3	0	0
All Features	0	7	12	3

5. Conclusions

- 5.1 The completed draft self assessment, evidence and actions are detailed in **Appendix 1**.
- 5.2 A draft self assessment was submitted to Scottish Government on 15th May 2019.
- 5.3 The IJB members scored 7 proposals as partly established, 12 proposals as established and 3 proposals as exemplary. No proposals were considered to be not yet established (the lowest self assessment category).
- 5.4 Proposed improvement actions have been set out against each proposal.

SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS

6. Resource Implications

- 6.1 It is anticipated that the proposed improvement actions will develop through existing functions with established budgets.

7. Impact on Integration Joint Board Outcomes, Priorities and Policy

- 7.1 The Public Bodies (Joint Working) (Scotland) Act, 2014 (the Act) is intended to ensure that health and social care services are well integrated, so that people receive the care they need at the right time and in the right setting, with a focus on community-based, preventative care. Robust planning of services is essential to meet the requirements of the Act.

8. Legal & Risk Implications

- 8.1 None noted.

9. Consultation

- 9.1 A series of working groups met to discuss the Audit Scotland recommendations and MSG proposals on: 8th February, 22nd February, 15th March and 1st April 2019.
- 9.2 An Integration Joint Board workshop met on 15th April 2019 to review and discuss the findings of the working groups.
- 9.3 For attendance see **Appendix 2**.

10. Equality and Human Rights Impact Assessment

- 10.1 An equalities impact assessment was not completed.

11. Glossary

IJB	Integration Joint Board
MSG	Ministerial Strategic Group for Health and Community Care
NHS	National Health Service