



Integration Joint Board
Audit and Risk Committee

24th June 2019

This Report relates to
Item 10 on the Agenda

Audit Scotland Reports Register

(Paper presented by Katy Lewis)

For Discussion and Noting

Approved for Submission by	Katy Lewis, Chief Finance Officer
Author	Katy Lewis, Chief Finance Officer
List of Background Papers	Not Applicable
Appendices	Appendix 1 – Audit Scotland Reports Register 2019 - 2020

Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	X
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

SECTION 1: REPORT CONTENT

Title/Subject: Audit Scotland Reports Register

Meeting: Audit and Risk Committee

Date: 24th June 2019

Submitted By: Katy Lewis, Chief Finance Officer

Action: For Discussion and Noting

1. Introduction

- 1.1 Audit Scotland provides the Auditor General and the Accounts Commission with the services they need to check that public money is being spent properly, efficiently and effectively. The Integration Joint Board (IJB) require to be assured that all reports being issued from Audit Scotland are being identified, disseminated, reviewed and implemented where required.

2. Recommendations

2.1 The Audit and Risk Committee is asked to:

- **Discuss and note the Audit Scotland Reports register, noting that no new reports have been received since previously presented.**

3. Background

- 3.1 A register is maintained of all reports received from Audit Scotland. This enables us as an organisation to consider reports which are issued and whether any actions are required.

4. Main Body of the Report

- 4.1 Audit Scotland do not routinely publish reports now and Boards are required to review the website at the following link for reports issued:

<http://www.audit-scotland.gov.uk/report/search/>

- 4.2 IJB Audit and Risk Committee should be provided with the relevant level of assurance that the action against recommendations has been taken and that any self assessment required has been carried out.
- 4.3 Where a self assessment and/or local action plan is required, IJB Audit and Risk Committee should, in due course, receive a report highlighting the results of the self

assessment and the actions which have been taken locally to gain a level of assurance.

- 4.4 The register is attached as **Appendix 1** which includes an update on progress with each report.
- 4.5 No new reports have been received since previously reported.

SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS

5. Resource Implications

5.1. There are no resource implications identified.

6. Impact on Integration Joint Board Outcomes, Priorities and Policy

6.1. The financial sustainability of services identified in these three reports is critical in the supporting the delivery of the strategic plan.

7. Legal & Risk Implications

7.1. There are no legal or risk implications outlined within this report.

8. Consultation

8.1. IJB Audit and Risk Committee.

9. Equality and Human Rights Impact Assessment

9.1. An Impact Assessment is not required for this report.

10. Glossary

IJB Integration Joint Board

Dumfries and Galloway Integration Joint Board



DIRECTION

(ISSUED UNDER SECTIONS 26-28 OF THE PUBLIC BODIES (JOINT WORKING) (SCOTLAND) ACT 2014)

1.	Title of Direction and Reference Number	
2.	Date Direction Issued by Integration Joint Board	
3.	Date from which Direction takes effect	
4.	Direction to	
5.	Does this direction supersede, amend or cancel a previous Direction? If yes, include the reference number(s)	
6.	Functions covered by Direction	
7.	Full text of Direction	
8.	Budget allocated by Integration Joint Board to carry out Direction	
9.	Desired Outcomes	
10.	Performance Monitoring Arrangements	
11.	Date Direction will be Reviewed	

Audit Scotland Reports Register – 2019/20

Appendix 1

Report Topic	Date published	Lead Director	Most Appropriate Committee	Action required	Local Communication and Discussion	Date presented at A&R Committee	Report Status
None							

Audit Scotland Reports Register – 2018/19

Report Topic	Date published	Lead Officer	Action required	Local Communication and Discussion	Most Appropriate Committee	Date presented at Audit & Risk Committee	Report Status
Local Government in Scotland – Financial Overview 2017/18	29 th November 2018	Katy Lewis	No	Report presented to IJB Audit and Risk Committee in December 2018.	Audit & Risk Committee	17/12/2018	Closed
Health and Social Care Integration (update on progress)	15 th November 2018	Julie White	No	Reported through IJB A&R committee for info. Paper submitted to IJB in January for discussion and discussed at H&SCSMT in January. Chief Officer will develop action plan for Partnership and progress on action plan will be reported through IJB Committee process. Contact made with Audit Scotland to organise a local workshop session. Self assessment action plan drafted and submitted to SG.	Audit & Risk Committee	17/12/2018	Closed
NHS Scotland in Scotland 2018	25 th October 2018	Katy Lewis	No	Reported through IJB A&R Committee. No further action required.	Audit & Risk Committee	17/12/2018	Closed