



Integration Joint Board
Audit and Risk Committee

24th June 2019

This Report relates to
Item 12 on the Agenda

Information Assurance / Information Sharing Update

(Paper presented by Graham Gault)

For Noting

Approved for Submission by	Julie White, Chief Officer
Author	Graham Gault, General Manager Information, Communication and Technology , NHS
List of Background Papers	Not Applicable
Appendices	Not Applicable

Direction Required to Council, Health Board or Both	Direction to:	X
	1. No Direction Required	
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

SECTION 1: REPORT CONTENT

Title/Subject: Information Assurance/Information Sharing Update
Meeting: IJB Audit and Risk Committee
Date: 24th June 2019
Submitted By: Graham Gault, General Manager ICT, NHS
Action: For Noting

1. Introduction

- 1.1 This paper has been compiled to provide the IJB Audit and Risk Committee on the progress made to support the joint working of NHS and Social Work (SW) staff in an attempt to share data in a safe and effective way supporting our service users.

2. Recommendations

2.1 The Audit and Risk Committee is asked to:

- **Note progress on the practical work now proven to allow SW staff to share NHS Data.**
- **Note progress in the signing of the Information Sharing Protocol, and recognise that Dumfries and Galloway Legal Team are the guardian of this work in terms of completion.**
- **Note the intension, once the ISP is signed that Social Work Adult data will be matched and then Social Work data will be shared with NHS Staff completing the two-way data flows.**
- **Note the fact that in order to share SW Date with NHS Staff through the Health and Social Care (H&SC) Portal, DGC have not yet secured funding or procurement approval to proceed.**

3. Main Body of the Report

3.1 Information Sharing Portal

NO LIVE DATA HAS BEEN SHARED at this point in time, as this is subject to successful competition of our Data Sharing Agreement (See detail below). Progress has however been made as per the following:

- The basic level information to be shared initially has been agreed at Practitioner Level through the Data Sharing Board.
- Manual Community Health Index seeding of Dumfries and Galloway Council (DGC) data has been completed (this allows links between the two data sets (DGC and NHS)).
- DGC & NHS staff have access to the Shared Information Portal, and this is now all tested and all technical issues overcome.
- Screen views for Social Work Services to access NHS data and vice versa have been built and are in place as per the agreement of the Senior Practitioners.
- Portal training documents have been prepared and 1:1 / classroom training sessions are being planned for all staff to use the shared PORTAL.
- User support will be provided by NHS (as they host the Portal). Arrangements are in place for this and communication to staff (delivered as part of their training) is underway.
- Technical procedures and processes are being documented and finalised – Completion June 19 with full automated implementation by end of July so as to manage how data will be managed between host systems and the shared Portal.

Once the above are completed – end of June 19 – this will enable basic level to be shared through the portal.

Future planned work:

- Verify that the basic level information is suitable for practitioner use. This will be an iterative process managed by practitioners.
- DGC will implement Mosaic HIE module to allow development of automation and two way sharing to allow inclusion of NHS information in Mosaic. (currently waiting for Finance and Procurement approval)
- The work we are doing is primarily for adults but its use with children's data will have benefits for our Child Protection services and the automation of existing processes. This will require Chief Officer approval which is being sought.
- With practitioners develop an ongoing plan for increased information sharing (Recommendation 2 as per DGC Scrutiny Committee) with intermediate level data by September 19 and advance level data by December 19. This requires to be actioned by the practitioners.
- Consider the scope for improving data sharing further across all sectors beyond 2019 (Recommendation 3 as per DGC Scrutiny Committee) with scope defined by December 19
- To make full use of mobile technologies for practitioners in the field to access and update data for clients. Consideration during 20/21. (Recommendation 4 as per DGC Scrutiny Committee)
- Develop a single consent process for clients, including a review and update process for additional permissions and changes to circumstances (Recommendation 5 as per DGC Scrutiny Committee)
- Using improved data sharing, maximise the opportunity to schedule work across the Council and NHS Dumfries and Galloway in the most efficient and effective way to benefit the user (Recommendation 6 as per DGC Scrutiny Committee)

3.2 Information Sharing Agreement

Following from our initial draft agreement and subsequent review by our legal teams (DGC and NHS) a recommendation to use the Scottish Government Data Sharing Agreement (DSA) format was accepted.

This format required the consolidation of a number of individual documents (some of which were scheduled to be written once the original agreement would have been put in place) into a single agreement. This has resulted in a significant delay in concluding our DSA but will provide us with a more comprehensive agreement.

The current position is as follows:

- The document is substantially complete.
- This work is being co-ordinated by DGC Legal Team (Lucy Irons-Young)
- Both DGC SWS and NHS have responded to her requests for further information
- There is an outstanding requirement for both NHS and DGC to provide a Privacy Impact Assessment. Agreement from both that this will be completed by 20th June.
- Graham Gault and Graeme McIlorum will meet with Lucy Irons-Young on her return from leave (17th June) to establish any outstanding issues.

4. Conclusions

- 4.1 Work to share Data between NHS and DGC has now been tested and successful.
- 4.2 The Shared Portal has been modified to accommodate NHS and SW sharing screens.
- 4.3 All processes (Staff training/ Support/ Documentation) is being deployed now and will conclude in the coming month.
- 4.4 Automatic data sharing from SW will commence only once the Mosaic HIE is funded and implemented which may take some months from now. A manual interim arrangement will be put in place.
- 4.5 The ISP is near completion to allow the NHS data to be shared by SW staff. This is only days away.

SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS

5. Resource Implications

- 5.1. There are no resource issues for the Health and Social Care Partnership.
- 5.2. Authorisation has yet to be provided by DGC for funding and procurement for the Mosaic “Health Exchange Interface” solution to allow sharing Social Work data with NHS Staff.

6. Impact on Integration Joint Board Outcomes, Priorities and Policy

- 6.1. An Integration Sharing Protocol is outlined in the Integration Scheme as being a fundamental requirement to support the regular sharing of personal information between the Parties to allow sustainable delivery of the national health and wellbeing outcomes.

7. Legal & Risk Implications

- 7.1. Dumfries and Galloway Council Legal Department are dealing with all matters of a legal nature.

8. Consultation

- 8.1. The IJB Audit and Risk Committee have had regular updates on progression of this.
- 8.2. The Chief Officer has also been consulted.
- 8.3. DGC Scrutiny Committee has been consulted and have produced six recommendations which are addressed in the paper.

9. Equality and Human Rights Impact Assessment

- 9.1. As the content of this paper is an update an Impact Assessment is not required at this time.

10. Glossary

CHI	Community Health Index
DGC	Dumfries and Galloway Council
DSA	Data Sharing Agreement
H&SC	Health and Social Care
ICT	Information Communication Technology

IJB	Integration Joint Board
NHS	National Health Service
SW	Social Work
SWS	Social Work Services

Dumfries and Galloway Integration Joint Board

DIRECTION

(ISSUED UNDER SECTIONS 26-28 OF THE PUBLIC BODIES (JOINT WORKING) (SCOTLAND) ACT 2014)

1.	Title of Direction and Reference Number	
2.	Date Direction Issued by Integration Joint Board (Audit and Risk Committee)	
3.	Date from which Direction takes effect	
4.	Direction to	
5.	Does this direction supersede, amend or cancel a previous Direction? If yes, include the reference number(s)	
6.	Functions covered by Direction	
7.	Full text of Direction	
8.	Budget allocated by Integration Joint Board to carry out Direction	
9.	Desired Outcomes	
10.	Performance Monitoring Arrangements	
11.	Date Direction will be Reviewed	