



Integration Joint Board  
Audit and Risk Committee

24<sup>th</sup> June 2019

This Report relates to  
Item 8 on the Agenda

# IJB Draft Governance Statement and Assurance Processes

*(Paper presented by Katy Lewis)*

*For Scrutiny and Review*

<b>Approved for Submission by</b>	Katy Lewis, Chief Finance Officer
<b>Author</b>	Katy Lewis, Chief Finance Officer
<b>List of Background Papers</b>	Not Applicable
<b>Appendices</b>	Appendix 1 – Draft Governance Statement Appendix 2 – IJB Assurance Pack

<b>Direction Required to Council, Health Board or Both</b>	<b>Direction to:</b>	
	1. No Direction Required	X
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

## SECTION 1: REPORT CONTENT

**Title/Subject:** IJB Draft Governance Statement and Assurance Processes

**Meeting:** Audit and Risk Committee

**Date:** 24<sup>th</sup> June 2019

**Submitted By:** Katy Lewis, Chief Finance Officer

**Action:** For Scrutiny and Review

### 1. Introduction

- 1.1 This paper presents to the Integration Joint Board Audit and Risk Committee the Draft Governance Statement and Assurance Processes for 2018/19.

### 2. Recommendations

- 2.1 **The Audit and Risk Management Committee is asked to:**

- **Review the IJB Audit and Risk Committee Draft Governance Statement and Assurance Processes for 2018/19.**

### 3. Background

- 3.1 The Chief Officer is required as part of the Annual Accounts to prepare a Governance Statement for the Integration Joint Board. This draft statement forms part of the annual accounts and is required to be signed off by the Chief Officer and Chair.

### 4. Main Body of the Report

- 4.1 The governance statement for 2018/19 is included as **Appendix 1** to this paper and is the draft which is included in the current draft of the accounts. It has been prepared in accordance with guidance on good governance and assurances taken from both the local authority and NHS code of governance arrangements which have been applied to the IJB.
- 4.2 An overall assurance pack has been developed and will form part of the Chief Officer's consideration of the final draft of the governance statement.

4.3 The assurance pack has been completed and is included in **Appendix 2** to this paper:

<b>Assurances to the Chief Officer for preparation of the Governance Statement</b>
Letter from IJB Finance Officer on financial matters (attached)
Letter from both partner organisation Chief Executives (attached for NHS, one still awaited)
Standing Committee Statements (attached)
Statement from Health and Social Care Senior Management Team (attached)
Internal Audit Report – on Committee Agenda
External Audit Report – available at September meeting
Annual Risk Report – available for NHS only on Committee Agenda

<b>Assurances to the Finance Officer for signing the statement of responsibilities for the statements of account</b>
Letter of assurance from D&G Council Head of Finance and Procurement (attached)
Letter of assurance from NHS Director of Finance (attached)

4.4 All statements will be signed by the relevant committee lead or officer.

## **SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS**

### **5. Resource Implications**

5.1. The accounts set out the financial position for the IJB for 2018/19.

### **6. Impact on Integration Joint Board Outcomes, Priorities and Policy**

6.1. The governance statement and financial assurances are an integral part of the best value and governance arrangements for the IJB.

### **7. Legal & Risk Implications**

7.1. There are no legal or risk implications identified.

### **8. Consultation**

8.1. Consultation with Chief Officer, Deputy Director of Finance, External and Internal Auditors and other senior finance team members across NHS and Council.

### **9. Equality and Human Rights Impact Assessment**

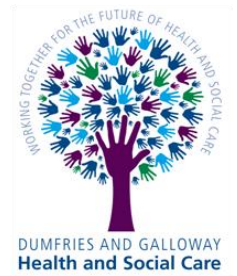
9.1. As this report does not propose a change in policy/strategy/plan/project, it is not necessary to complete an impact assessment.

### **10. Glossary**

IJB - Integration Joint Board

## Dumfries and Galloway Integration Joint Board

### DIRECTION



**(ISSUED UNDER SECTIONS 26-28 OF THE PUBLIC BODIES (JOINT WORKING) (SCOTLAND) ACT 2014)**

1.	Title of Direction and Reference Number	
2.	Date Direction Issued by Integration Joint Board	
3.	Date from which Direction takes effect	
4.	Direction to	
5.	Does this direction supersede, amend or cancel a previous Direction? If yes, include the reference number(s)	
6.	Functions covered by Direction	
7.	Full text of Direction	
8.	Budget allocated by Integration Joint Board to carry out Direction	
9.	Desired Outcomes	
10.	Performance Monitoring Arrangements	
11.	Date Direction will be Reviewed	