



Integration Joint Board

27<sup>th</sup> July 2017

This Report relates to  
Item 7 on the Agenda

# Review of Health and Social Care Strategic Plan

*(Paper presented by Linda Owen)*

*For Approval*

<b>Approved for Submission by</b>	Vicky Freeman, Head of Strategic Planning
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<b>List of Background Papers</b>	<a href="#">Health and Social Care Strategic Plan</a> <a href="#">Public Bodies (Joint Working) (Scotland) Act 2014</a> <a href="#">Integration Scheme</a>
<b>Appendices</b>	Appendix 1 Timeline for Review

## SECTION 1: REPORT CONTENT

<b>Title/Subject:</b>	Review of Health and Social Care Strategic Plan
<b>Meeting:</b>	Integration Joint Board
<b>Date:</b>	27 <sup>th</sup> July 2017
<b>Submitted By:</b>	Viv Gration/Linda Owen
<b>Action:</b>	For Approval

### 1. Introduction

- 1.1 The Public Bodies (Joint Working) (Scotland) Act 2014 requires that Integration authorities review their Strategic Plans at least once every three years (Section 37). This must be carried out with the involvement of their Strategic Planning Group.
- 1.2 A process and timeline to facilitate a review of the Strategic Plan has been developed (Please see Appendix 1)

### 2. Recommendations

- 2.1 **The Integration Joint Board is asked to:**
- 2.2 **Note the requirement of the Public Bodies (Joint Working) (Scotland) Act 2014 to review the Health and Social Care Strategic Plan 2016-19 a minimum of once every three years.**
- 2.3 **Approve the proposed process and timeline for review of the Health and Social Care Strategic Plan.**
- 2.4 **Note the role of the Strategic Planning Group (SPG) in the review of the Health and Social Care Strategic Plan.**

### 3. Background

- 3.1 The Integration Joint Board (IJB) published its first Health and Social Care Strategic Plan on 1<sup>st</sup> April 2016. The Strategic Plan is a suite of documents that include:
  - Part One – A document that sets out the case for change, priority areas of focus, challenges and opportunities and the IJB commitments for the years 2016-2019

- Part Two – The Strategic Plan annexes provide a summary of the Strategic Needs Assessment, Locality Plans, Finance Plan, Market Facilitation and an overview of the Performance Management Framework
- The four Locality Plans (Annandale and Eskdale, Nithsdale, Stewartry and Wigtownshire)

3.2 Section 32 of the Public Bodies (Joint Working) (Scotland) Act 2014 requires that Integration authorities establish a Strategic Planning Group. The Act further stipulates the membership, role and function of the Strategic Planning Group. The Dumfries and Galloway Strategic Planning Group was established in February 2015.

#### 4. Main Body of the Report

4.1 Section 37 of the Public Bodies (Joint Working) (Scotland) Act 2014, states that:

- 'Integration authorities, must, before the expiry of the relevant period, review the effectiveness of its Strategic Plan'
- 'The process of the review is to be such as the Integration authority determines'
- An Integration authority may prepare a replacement Strategic Plan'

4.2 It is proposed that there are three potential outcomes of a Review:

- **Retain the existing Strategic Plan** – The main strategic direction of the Plan remains relevant and no updating of other parts of the document is required prior to the next relevant period (January 2018 – December 2020)
- **Revise the existing Strategic Plan** – The main strategic direction of the Plan remains relevant however some aspects of the current document need updated. A revised Strategic Plan would be published by 1<sup>st</sup> April 2019
- **Replace the existing Strategic Plan** – The main strategic direction of the Plan is no longer relevant. A new Strategic Plan would be published by 1<sup>st</sup> April 2019

4.3 Section 37 of the legislation also states that 'in carrying out such a review the Integration authority must:

4.4

- 'Have regard to the Integration principles and national health and well being outcomes'
- 'Seek and have regard to the views of its Strategic Planning Group on:
  - The effectiveness of the arrangements for carrying out the Integration functions and

- Whether the Integration authority should prepare a replacement Strategic Plan'

4.5 To support the SPG to reach a view, it is planned that they will meet over the Autumn and Winter of 2017 to reflect on:

- The IJB Annual Performance Report
- The updated Strategic Needs Assessment (due in Autumn 2017)
- Information from external inspections
- Other relevant documents such as the October 2016 'Overview of Strategic Commissioning Plans' by Scottish Government

4.6 The views of the Strategic Planning Group will be submitted to the IJB early in 2018. This, alongside the regular Performance Reports and consideration of the documents listed in Section 4.5 will help inform the IJB with regard to the retention, revision or replacement of the Strategic Plan.

## **5. Conclusions**

5.1 A formal process for review of the Dumfries and Galloway Partnership Strategic Plan is required

5.2 The review process must include the Strategic Planning Group in accordance with legislation

5.3 It is the role of the Strategic Planning Group to provide the IJB with views on the effectiveness of the arrangements for carrying out the integration functions and whether the Integration authority should prepare a replacement Strategic Plan.

5.4 It is the decision of the IJB whether to retain, revise or replace their Strategic plan. This decision must have regard to the views of the Strategic Planning Group.

5.5 The Review needs to be timely to ensure that, regardless of the decision to retain, revise or replace the Strategic Plan, there is not a period of time where the IJB do not have a current Strategic Plan in place.

## **SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS**

### **6. Resource Implications**

- 6.1. There will be resource implications for staff time and capacity to support the review process and subsequent redrafting/re-writing and consultation

### **7. Impact on Health and Social Care Senior Management Team Board Outcomes, Priorities and Policy**

- 7.1. This work links with all of the national outcomes and priorities

### **8. Legal & Risk Implications**

- 8.1. By failing to undertake this Review, the IJB will be in breach of the Public Bodies (Joint Working) (Scotland) Act 2014

### **9. Consultation**

- 9.1. Consultation has been undertaken on this Paper with the Strategic Planning Group.

### **10. Equality and Human Rights Impact Assessment**

- 10.1. An Equality and Human Rights Impact Assessment will be undertaken in due course but is not required at this stage in the process.

### **11. Glossary**

- 11.1. IJB - Integration Joint Board  
SPG - Strategic Planning Group

## Appendix 1 – Timeline for Review of The Dumfries and Galloway Integration Joint Board Health and Social Care Strategic Plan 2016 - 2019

April 2017	Commence revision of strategic needs	
July 2017	IJB approve the process and timeline for review of The Strategic Plan	
July 2017	IJB Annual Performance Report is published	
Aug 2017		
Oct 2017	IJB Annual Performance Review Updated Strategic Needs Assessment is available	
Oct 2017	Strategic Planning Group meet to reach a view on the effectiveness of the arrangements for carrying out the integration functions and whether the integration authority should prepare a replacement strategic plan	
Nov 2017		
Dec 2017		
Jan 2018	IJB makes decision on whether to retain, revise or replace The Dumfries and Galloway Integration Joint Board Health and Social Care Strategic Plan	
	<b>Decision to revise or replace the existing Plan</b>	<b>Decision to retain the existing plan</b>
Feb 2018	Preparation of a revised or replacement draft Strategic Plan	Publish statement confirming retention of existing Strategic Plan
Mar 2018		
Apr 2018		
May 2018	Draft Strategic Plan to IJB for agreement prior to commencing wide stakeholder engagement and consultation	
Jun – Sept 2018	Period of stakeholder engagement and consultation	
Oct 2018	Redraft Strategic Plan to take into account comments, views, etc received during engagement and consultation	
Nov 2018		
Dec 2018	Final draft of Strategic Plan to IJB for approval	
Jan 2019		
Feb 2019		
1 <sup>st</sup> April 2019	Publish new Dumfries and Galloway Integration Joint Board Health and Social Care Strategic Plan 2019 – 2021	Review Strategic Plan within the three years from January 2018 – December 2020