



Dumfries and Galloway
Integration Joint Board

27th May 2021

This Report relates to
Item 7 on the Agenda

IJB Directions Policy

Paper presented by Alison Warrick

For Approval

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List of Background Papers:	Please add hyperlinks if available
Appendices:	Appendix 1 Draft IJB Directions Policy

Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	x
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

1. Introduction

- 1.1 The IJB Directions Policy has been developed following this guidance received from Scottish Government in January 2020.
[Health and Social Care Integration - Statutory Guidance - Directions from Integration Authorities to Health Boards and Local Authorities](#)
- 1.2 The Directions Policy supersedes the Directions Process which was approved by the IJB in July 2019.

2. Recommendations

- 2.1 **The Integration Joint Board is asked to:**
- **Approve the IJB Directions Policy attached at Appendix 1.**

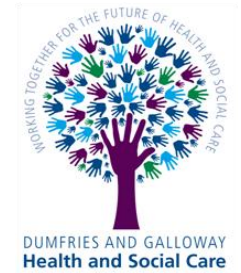
3. Background and Main Report

- 3.1 Under the Public Bodies (Joint Working) (Scotland) Act 2014, integration authorities were provided with the mechanism to issue binding directions to each of the constituent authorities. This enables the IJB to action its Strategic Commissioning Plan and provides the legal basis for which the NHS Board and Local Authority deliver services under the control of the IJB.
- 3.2 Statutory guidance was issued by Scottish Government In January 2020 with the aim of improving practice in the issuing and implementation of Directions. This is attached as an appendix to the Directions Policy for clarity.
- 3.3 Key actions identified within the content of the guidance was to:
- A standard covering report format, which includes a brief section requiring the report author to decide and record if the report requires a direction to be issued to the Health Board, the local Authority or both, or that no direction is required
 - Directions should include detail on the required delivery of the function and financial resources
 - The content of a direction should be informed by the content of a report on the function(s) approved by the IJB and should be contained in the same report using a standard format
 - Directions should be issued as soon as practicable following approval by the IJB, usually by the IJB Chief Officer to the Chief Executive of either the Health Board or the Local Authority or both. Each in their role as accountable officers to the relevant statutory body.
 - A log of all directions issued, revised, revoked and completed should be maintained. This log should be periodically reviewed by the IJB and used as part of performance management processes, including audit and scrutiny.

In order to carry out these actions it was advised that a Directions Policy based on the statutory guidance would ensure IJBs have a consistent process for the issuing, reviewing and monitoring Directions. This is attached at **Appendix 1**.

4.	Conclusions				
4.1	Given that the content of the Directions Policy is based on statutory guidance it is hoped that members are able to approve this Policy.				
5.	Resource Implications				
5.1	There are no financial or workforce resource implications identified as a result of the IJB Directions Policy at Appendix 1 .				
6.	Impact on Integration Joint Board Outcomes, Priorities and Policy				
6.1	The Directions Policy attached at Appendix 1 is issued to ensure compliance with statutory guidance.				
7.	Legal and Risk Implications				
7.1	The issuing of Directions is a legally binding process as set out in the Public Bodies (Joint Working) (Scotland) Act 2014.				
8.	Consultation				
8.1	This report is shared with the Health and Social Care Governance and Performance Group.				
9.	Equality and Human Rights Impact Assessment				
9.1	As the content of this report does not reflect a change in policy an EQIA is not required.				
10.	Glossary				
10.1	All acronyms must be set out in full the first time they appear in a paper with the acronym following in brackets.				
	<table border="1"> <tr> <td>EQIA</td> <td>Equalities Impact Assessment</td> </tr> <tr> <td>IJB</td> <td>Integration Joint Board</td> </tr> </table>	EQIA	Equalities Impact Assessment	IJB	Integration Joint Board
EQIA	Equalities Impact Assessment				
IJB	Integration Joint Board				

Dumfries and Galloway Integration Joint Board



DIRECTION

(ISSUED UNDER SECTIONS 26-28 OF THE PUBLIC BODIES (JOINT WORKING) (SCOTLAND) ACT 2014)

1.	Title of Direction and Reference Number	
2.	Date Direction Issued by Integration Joint Board	
3.	Date from which Direction takes effect	
4.	Direction to	
5.	Does this direction supersede, amend or cancel a previous Direction? If yes, include the reference number(s)	
6.	Functions covered by Direction	
7.	Full text of Direction	
8.	Budget allocated by Integration Joint Board to carry out Direction	
9.	Desired Outcomes	
10.	Performance Monitoring Arrangements	
11.	Date Direction will be Reviewed	