

Dumfries and Galloway
Integration Joint Board

Date 18 March 2021

This Report relates to
Item 5 on the Agenda

Strategic Commissioning Plan

Paper presented by Vicky Freeman

For Approval

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Approved for Submission by:	Julie White, Chief Operating Officer
List of Background Papers:	The Public Bodies (Joint Working) (Scotland) Act 2014 Dumfries and Galloway IJB Strategic Plan 2018-2021 Consultation document for the IJB Strategic Commissioning Plan 2021-2024 National Standards of Community Engagement Equality Act 2010
Appendices:	Appendix 1: Process used to assess that the Strategic Plan 2018 - 2021 remains fit for purpose until March 2022. Appendix 2: Strategic Commissioning Plan Timeline

Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	X
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

1. Introduction

- 1.1 The Public Bodies (Joint Working) (Scotland) Act 2014 places legislative requirements on integration authorities to review their Strategic Commissioning Plans at least once in every relevant period.
- 1.2 The current relevant period in Dumfries and Galloway is from 5 April 2018 to 4 April 2021. This was established when the Integration Joint Board decided, following a formal review, to retain their existing Strategic Commissioning Plan (SCP).
- 1.3 Due to the pressures of the Covid-19 pandemic and the need for the whole system of health and social care to respond to this, work to develop the next SCP has required to be paused on two separate occasions.
- 1.4 This paper seeks Integration Joint Board (IJB) approval to retain their existing SCP for a further twelve months to the end of March 2022. This is in line with Scottish Government guidance regarding SCPs and will:
- 1.4.1 establish a new relevant period (April 2022 to March 2025)
 - 1.4.2 ensure the IJB meets the legislative requirement to have a SCP
 - 1.4.3 enable the work to develop the next SCP (for publication March 2022) to continue to be concluded in line with the National Standards of Community Engagement and the Equality Act 2010

2. Recommendations

2.1 The Integration Joint Board is asked to:

- **Approve retention of the current SCP 2018 - 2021 for a period of twelve months to the 31 March 2022**

3. Background and Main Report

- 3.1 The Public Bodies (Joint Working) (Scotland) Act 2014 (the Act) places legislative requirements on integration authorities to have an SCP and to review these at least once in every relevant period.
- 3.2 In subsection (1) of the Act, “relevant period”, in relation to an integration authority, means
- (a) the period of 3 years beginning with the integration start day (as defined in section 29(6)), and
 - (b) each subsequent period of 3 years beginning with –
 - (i) where a replacement strategic plan is prepared following a review under subsection (1), the day specified under subsection (9)
 - (ii) where no replacement strategic plan is prepared following such a review, the day on which the integration authority decides not to prepare a replacement strategic plan.
- 3.3 The legislation outlines two options for Integration authorities:
- Retain the current SCP, restarting the relevant period at the date of this decision, or
 - Replace the SCP at the end of the current relevant period

- 3.4 The integration authority, when considering whether to retain or replace their Strategic Plan, must:
- (i) Seek and have regard to the views of its Strategic Planning Group (SPG) on the effectiveness of the arrangements for carrying out the Integration functions and whether the Integration authority should prepare a replacement Strategic Plan.
 - (ii) Have regard to the Integration principles and the national health and wellbeing outcomes.
- 3.5 The Dumfries and Galloway Integration Joint Board (IJB) Strategic Plan 2016 – 2019 was developed by consulting with, and listening to, people who use services, their families, Carers, members of the public, people who work in Health and Social Care, and Third and Independent Sector partner organisations. At their meeting on 5 April 2018, the IJB decided to retain the previous strategic plan therefore establishing a new ‘relevant period’ from 5 April 2018 to 4 April 2021.
- 3.6 The next plan will continue to build on that work, by engaging with stakeholders to develop a document that sets out the vision of the IJB, how we plan to achieve the vision, the strategic commissioning intentions and tactical priorities.
- 3.7 The Covid-19 pandemic has impacted on the ability to develop the next plan before the end of the current relevant period (end of March 2021). The development of the plan was paused twice (March to July 2020 and December 2020 to February 2021) were necessary to enable the partnership to respond to the operational challenges of Covid-19. These dates are reflected in the SCP Timeline (Appendix 2).
- 3.8 It is noteworthy that the engagement with the public and other stakeholders has been good throughout the development of the plan. The most significant challenge has been the capacity of senior health and social care colleagues to provide their input to the draft plan.
- 3.9 There has been robust, meaningful stakeholder engagement through the process of developing the new Strategic Commissioning Plan to date. Retaining the current Strategic Plan for a further one year to 31 March 2022 will enable this high level of stakeholder engagement and consultation to continue through to the end. This will ensure, not only that meets the National Standards of Community Engagement and the Equality Act 2010 are fully met, but that the IJB have a document that has been truly co-created with all of its stakeholders.
- 3.10 Scottish Government issued guidance on 9 March 2021 confirming that there is ‘a requirement for IJBs, working with their Strategic Planning Group, to undertake a review of their SCP (as opposed to necessarily creating a new plan). Therefore a review could take place resulting in a decision to continue with the same plan for a period of twelve months. This would be followed, in due course, by a subsequent review resulting in a comprehensive period of consultation and engagement and the creation of a new SCP.’
- 3.11 A review process, including Strategic Planning Group, to provide assurance that the IJB current SCP remains ‘fit for purpose’ has been developed and applied. See Appendix 1.
- 3.12 Appendix 2 sets out the high level timeline for the development of the next SCP for delivery by March 2022.

4. Conclusions

- 4.1 A robust and structured engagement and consultation process is required to effectively produce an SCP that meets the needs of people who access and deliver health, care and support in Dumfries and Galloway.
- 4.2 The current pandemic necessitates the need for the IJB to retain the existing SCP for a further year, in order that there is time to do this. This is in line with Scottish Government guidance issued on 9 March 2021.

5. Resource Implications

- 5.1 The resources required to develop the plan are in place.

6. Impact on Integration Joint Board Outcomes, Priorities and Policy

- 6.1 As stated within the body of the paper.

7. Legal and Risk Implications

- 7.1 The risk of not retaining the current SCP and therefore establishing a new relevant period is that there will be insufficient time to appropriately engage and consult with stakeholders and the resulting plan may not meet the needs of the people in Dumfries and Galloway.

8. Consultation

- 8.1 The consultation process is following the Dumfries and Galloway Consultation Framework the Consultation Working Group and local Covid-19 consultation and engagement guidance in line with government guidelines.
- 8.2 The consultation process has included involvement by the SPG and regular feedback to governance groups throughout.
- 8.3 Involvement has been sought from a wide range of partner organisations to ensure that stakeholder engagement and consultation has been wide ranging and accessible across Dumfries and Galloway.
- 8.4 A full Statement of Consultation will be produced on the completion of the plan.

9. Equality and Human Rights Impact Assessment

- 9.1 In line with the Equality Act 2010, we are ensuring that people across Dumfries and Galloway, including those in protected characteristic groups, have opportunities to get involved in developing the plan.
- 9.2 Equalities implications have been considered and an Equalities Impact Assessment (EQIA) process will be ongoing throughout the engagement and consultation stages of the development of the Strategic Commissioning Plan.

10. Glossary

	EQIA	Equalities Impact Assessment
	IJB	Integration Joint Board
	SPG	Strategic Planning Group

Appendix 1 - Process for assessing if current Strategic Plan (2018 – 2021) is fit for purpose

Assessment Factor Does the current plan...	Process for assessing this factor	Who	Assessment Outcome
<p>1. ...continue to reflect what people told us?</p>	<p>Review engagement and consultation activities in relation to recent strategy documents, for example:</p> <ul style="list-style-type: none"> • Plan for Palliative Care • Housing with Care and Support Strategy • Digital Strategy • Strategic Commissioning Plan Consultation Document 	<p>Strategic Planning Managers</p> <p>Strategic Planning Group</p>	<ul style="list-style-type: none"> • Recent strategy documents have been reviewed and reassurance can be provided that the current plan does continue to reflect what people told us during these more recent engagement and consultation activities. • The process of reviewing comments received on the Strategic Commissioning Plan consultation document is ongoing but the comments received so far reflect the existing themes of the current plan. • Reassurance can be provided that the current plan will be sufficient until such a time as the new plan is in place. • Although the new plan will be in a better position to reflect lived experience during the pandemic, there is no choice but to continue with the current plan until the new plan is completed. The analysis undertaken as part of this assessment has concluded that the extension of the current plan for a period of one year is not considered a significant risk.

<p>2. ...reflect national and regional strategic direction?</p>	<p>Revise list of documents that have informed the plan to confirm if there are significant changes to legislation or policy at a national and regional level</p>	<p>Strategic Planning Managers</p>	<ul style="list-style-type: none"> • No change to National Health and Wellbeing Outcomes • The National Review of Adult Social Care Report which was published in February 2021. The next SCP will reflect the content of that report.
<p>3. ... provide a framework that enables ongoing local developments and organisational change?</p>	<p>Operationally - Check Sustainability and Modernisation Programme against existing plan</p> <p>Strategically – check the current plan will continue to support strategies in development, for example Learning Disabilities Strategy</p>	<p>Sustainability and Modernisation Programme Team</p> <p>Strategic Planning Managers</p>	<ul style="list-style-type: none"> • The Sustainability and Modernisation Programme is supporting operational colleagues to delivery tactical priorities. All of which are in line with the existing plan. • Further review has confirmed that that the existing plan will continue to support those strategies currently in development such as Learning Disabilities Strategy.
<p>4. ... support the partnership response to and recovery from Covid -19?</p>	<p>Check current plan against the Remobilisation Plan (RMP3)</p>	<p>Head of Strategic Planning</p>	<ul style="list-style-type: none"> • The Remobilisation Plan (RMP3) has been reviewed alongside the current plan and no issues have been identified that would affect the Partnership response to and recovery from COVID-19.
<p>5. ... reflect existing resource challenges (workforce and financial)</p>	<p>Check current plan against workforce and financial plans</p>	<p>Workforce Director Chief Finance Officer Strategic Planning Managers</p>	<ul style="list-style-type: none"> • The current plan has been checked against both the workforce and financial plans and is consistent in its reflections of the existing resource challenges. • Whilst the pandemic has exacerbated some of the issues outlined in these plans, the broad themes around the challenges outlined remain consistent.

6. ... reflect the needs of the people of Dumfries and Galloway	<p>Check current plan against local strategic needs assessment.</p> <p>Are there any significant changes to the 'case for change'</p>	<p>Intelligence Team</p> <p>Strategic Planning Group</p>	<ul style="list-style-type: none"> • The current plan has been fully assessed against the current local strategic needs assessment and no significant issues with the continuation of the existing plan for a further year have been identified. • No significant changes to the 'case for change' have been identified. The lived experience of the pandemic will be reflected in the new plan.

Appendix 2 - 2022-2025 Strategic Commissioning Plan: High Level Timeline

Phase 1 – Planning the development of the Strategic Commissioning Plan (SCP) Consultation Document and related documents (April 2019 – Oct 2020)	
3 Apr 2019	Integration Joint Board (IJB) Workshop - Strategic Commissioning Intentions
Jun 2019	Commence engagement with Strategic Planning Group (SPG)
18 Oct 2019	Council, NHS, IJB Workshop
31 Oct 2019	Engagement at IJB 'Looking Back, Leaping Forward' event
Feb 2020	Draft Strategic Commissioning Intentions developed
Feb – Jul 2020	Communication Engagement and Consultation Plan, Strategic Needs Assessment (SNA), Performance Framework and other related documents drafted
Mar – Jul 2020	Paused to address Covid-19 pressures
27 Aug 2020	SNA approved at Health and Social Care Governance and Performance Meeting (HSCGPM)
27 Aug 2020	Communications Engagement and Consultation Plan approved at HSCGPM
Sept – Oct 2020	Consultation document (including plain English and Easy Read) draft
29 Oct 2020	SNA approved at IJB
Nov 2020	Consultation document approved by the Executive Team for circulation to members of the IJB for agreement
Nov 2020	Consultation document circulated to members of the IJB for agreement
Phase 2 – Engagement on the SCP Consultation Document and development of the first draft of the SCP (Nov 2020 to Apr 2021)	
Nov 2020 – Jan 2021	Period of engagement
Dec 2020 – Feb 2021	Paused to address Covid-19 pressures – Period of engagement extended
Feb 2021	Analysis of period of engagement and summary report
16 Mar 2021	Integration Joint Board Workshop – 'Feedback from engagement and development of first draft SCP'
18 Mar 2021	Paper to Integration Joint Board – 'Review of existing SCP and recommendations'
Mar - Apr 2021	Develop first draft SCP (plain English and Easy Read)
Phase 3 – Consultation on the first draft of the SCP (May to Aug 2021)	
May – Aug 2021	Formal period of consultation (14 weeks) on first draft of SCP
Phase 4 – Developing the final draft of the SCP (Aug 2021 to Apr 2022)	
Aug – Sep 2021	Analysis of outcomes of period of consultation
Sept - Nov 2021	Develop final draft SCP (plain English and Easy Read) and other related documents including Statement of Consultation and Equality Impact Assessment
Dec 2021	Integration Joint Board Workshop – 'Final Draft SCP'
Jan - Feb 2022	Share the Final Draft SCP with governance groups and partners
Mar 2022	Seek agreement of the Final Draft SCP from the Integration Joint Board
Mar 2022	Publication of the SCP