

Financial Risks not included in In-Year Position or Financial Plan

| Identified | Active/ Transferred / Closed | Area affected | Risk Identified but not quantified |
|------------|------------------------------------|------------------|--|
| Q3 | Active | Non-Pays | It is assumed the 2c staffing in Lockerbie and Moffat will continue in same pattern until year-end, as it is not possible to factor in any changes the new contractors may make when operating under the transition SLA. |
| Q3 | Active | Non-Pays | A detailed piece of work is required alongside the Primary Care General Manager, Practitioner Services and GP Partners to ensure that there are no material adjustments to GMS budgets resulting from 2 years of average protected payments due to Covid-19. |
| Q2 | Active | Non-Pays | Any additional Personal Protective Equipment (PPE) required for Covid-19 will be funded through Scottish Government and the arrangements with National Services Scotland (NSS). |
| Q2 | Active | Pays | Any impact identified through the review and implementation of Band 2 – 3 Healthcare Support Worker grievance |
| Q2 | Active | Pays | Any impact identified through the review and implementation of the nursing workforce tools. |
| Q2 | Active | Non-Pays | Price impact of wholesale gas which will be seen in future years. |
| Q2 | Active | Pays Non-Pays | Impact of taking on further 2c practice – Southern Machars. |
| Q2 | Active | Non-Pays | Any change in costing methodology applied by Scottish Government for O365 as a result of the ongoing discussions nationally. |
| Q2 | Active | Non-Pays | Any drug rebates due from Glasgow and Lothian for NHS D&G patients which has not yet been made available. Q3 Update Information has still not been made available |

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| Q1 | Active | Non-Pays | An increase in the number of legal claims being presented which are difficult to quantify in advance, this in turn brings additional legal advisor costs through the Central Legal Office (CLO) which are not quantifiable. Q3 Update Useful meeting held with CLO which provided some clarity on existing cases which can be used for year end modelling. |
| Q1 | Active | Pays | Increasing funding from Scottish Government is being received on a non-recurring basis and pressure to ensure recruitment to posts to deliver on the various programmes and initiatives means that there is increased recurring financial gap. |
| Q1 | Active | Non-Pays | Review of prescribing savings and tariff reductions is outstanding. |
| Q1 | Active | Pays | Whilst savings associated with medical locum costs have been factored into the forecast outturn position, there remains a significant ongoing financial risk associated with maintaining safe levels of medical staffing particularly in DGRI and Galloway Community Hospital. This reflects the fact that no additional locum pressures was recognised in the original 2021/22 Financial Plan. |
| Q1 | Active | Non-Pays | Activity charges from other providers remains difficult to forecast, although an increase has been built into existing forecasts, most recent indications suggest that this may not be sufficient if the trend continues. Q3 Update Reforecast at Q3 based on more recent information included within directorate position. |
| Q1 | Active | Non-Pays | Activity charges from other providers remains difficult to forecast, although an increase has been built in to existing forecasts, most recent indications suggest that this may not be sufficient if the trend continues. |
| Q1 | Active | Pays Non -Pays | Capacity is increasing within the Care at Home market, which is increasing costs, however, there remain significant levels of unmet need which when met will further increase costs. |

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| Q1 | Active | Non-Pays | There continues to be increasing price pressures due to shortfall in capacity and the rurality of Care at Home provision. |
| Q1 | Active | Pays | Staffing vacancies and care home beds are offsetting some of the cost pressures, however, when these vacancies are filled costs will increase. |
| Q1 | Closed (split out to separate risks in Q2) | Pays | A number of significant workforce related claims emerging |
| Q1 | Closed | Non-Pays | Ongoing challenge in identifying the anticipated costs for the contribution to the Clinical Negligence scheme Q3 Update Contribution % now identified for scheme however risk remains on total size of the pot to be charged. Current forecasts assume £1.4m, risk that this could be as much as £1.9m in year. Awaiting confirmation on scheme cap. |
| Q1 | Closed | Pays Non-Pays | Any additional activity or acuity pressures associated with traditional winter which can't be managed within the envelope of funding provided. Q3 Update Forecast of impact is now included in directorate position |
| Q1 | Closed | Pays Non-Pays | There is work on going to assess the potential impact of respiratory winter pressures specifically in relation to Paediatrics which has been identified nationally, the surge planning work has commenced locally in response to this however at this early stage the financial risk remains unquantifiable. Q3 Update Forecast of impact is now included in directorate position |

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| Q2 | Closed | Non-Pays | Volume impact on utility prices if worsening weather conditions during the winter Q3 Update Has not materialised in year due to relatively mild winter |