



Dumfries and Galloway  
Integration Joint Board  
Audit and Risk Committee

7<sup>th</sup> September 2020

This Report relates to  
Item 7 on the Agenda

# Final IJB Governance Statement 2019/20

*Paper presented by Katy Lewis*

*For Scrutiny and Review*

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<b>List of Background Papers:</b>	Not required
<b>Appendices:</b>	<b>Appendix 1</b> – Final Governance Statement

<b>Direction Required to Council, Health Board or Both</b>	<b>Direction to:</b>	
	1. No Direction Required	X
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

## 1. Introduction

- 1.1 This paper presents to the IJB Audit and Risk Committee the Governance Statement and Assurance Processes for 2019/20.

## 2. Recommendations

### 2.1 The Integration Joint Board is asked to:

- **Scrutinise and review the Final IJB Governance Statement and Assurance Processes for 2019/20.**

## 3. Background and Main Report

### 3.1 Background

- 3.2 The Chief Officer is required as part of the Annual Accounts to prepare a Governance Statement for the IJB. The final statement forms part of the annual accounts and is required to be signed off by the Chief Officer and Chair.

### 3.3 Main Report

- 3.4 The Final Governance Statement for 2019/20 is included as **Appendix 1**. It has been prepared in accordance with guidance on good governance and assurances taken from both the local authority and NHS code of governance arrangements which have been applied to the IJB. It also reflects specific comments in relation to the Covid-19 crisis and the impact on the IJB and the Partnership over the latter months of 2019/20.

- 3.5 An overall assurance pack has been developed and will form part of the Chief Officer's consideration of the final draft of the Governance Statement.

- 3.6 The assurance pack has been completed and was previously shared with the External and Internal Auditors and includes assurances as per the summary below:

<b>Assurances to the Chief Officer for preparation of the Governance Statement</b>
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Letter from IJB Finance Officer on financial matters
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Letter from both NHS Chief Executive
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Letter from both Council Chief Executive - outstanding
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Standing Committee Statements - outstanding
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Internal Audit Report – available at September meeting
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External Audit Report – available at September meeting
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<b>Assurances to the Finance Officer for signing the statement of responsibilities for the statements of account</b>
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Letter of assurance from Dumfries and Galloway Council Head of Finance and Procurement
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Letter of assurance from NHS Director of Finance
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- 3.7 All Committee statements will be signed by the relevant committee lead or officer.

<b>4.</b>	<b>Conclusions</b>		
4.1	The Final Governance Statement is included in the annual accounts and forms part of the annual accounts and overall assurance process.		
<b>5.</b>	<b>Resource Implications</b>		
5.1.	The accounts sets out the financial position for the IJB for 2019/20. There are no specific resource implications associated with the Governance Statement.		
<b>6.</b>	<b>Impact on Integration Joint Board Outcomes, Priorities and Policy</b>		
6.1.	The Governance Statement and financial assurances are an integral part of the best value and governance arrangements for the IJB.		
<b>7.</b>	<b>Legal and Risk Implications</b>		
7.1.	None identified.		
<b>8.</b>	<b>Consultation</b>		
8.1.	Consultation with Chief Officer, Deputy Director of Finance, External and Internal Auditors and other senior finance team members across NHS and Council.		
<b>9.</b>	<b>Equality and Human Rights Impact Assessment</b>		
9.1.	As this report does not propose a change in policy/strategy/plans, no impact assessment has been carried out.		
<b>10.</b>	<b>Glossary</b>		
10.1	All acronyms must be set out in full the first time they appear in a paper with the acronym following in brackets.		
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