

Risk Status Key	
On target	→
Off Target	✘
On target, increased risk grading	👉

IJB Corporate Risk Register

ID	Ref	Description	Hazards	Risk Level (Initial)	Risk level (Current)	Risk level (Target)	Current control measures	Risk Appetite Level	Further control measures required/ action plan	Target Date	Risk Status
	New Corp Risk 1	Sufficiency or stability of resource to meet needs set out in Strategic Plan.	<ol style="list-style-type: none"> Insufficient Financial allocation to meet needs set out in Strategic Plan. Inability of host organisations and partners to recruit to key positions to maintain service delivery and the triple aim (Improving experience of care through continually improving the quality and experience of care; improving health of the population and optimising financial return) Workforce capacity insufficient in key areas Failure of IJB to meet financial constraints and ensure best value Increase in demand 				<ol style="list-style-type: none"> Standing Financial Instructions Contract monitoring process/team. Council & NHS procurement HR / Workforce development plans, policies and procedures(local and Regional) Governance arrangements – Performance and Finance; Audit & Risk Committee; Clinical and Care Governance IJB Performance Framework HSCSMT oversight of HSCP deliverables 		<ol style="list-style-type: none"> Maximising Return On Investment through improving quality, efficiency and decommissioning programmes Remodelling service delivery Encourage Positive Risk Taking behaviours Agree and promote Risk Tolerance Recruitment/Talent Management drivers stepped up HSCP will be monitored and HSCSMT. Delivery challenges will be fed back to IJB to inform commissioning plans. 		
	New Corp Risk 2	Failure to make progress against nine National Outcomes	<ol style="list-style-type: none"> Insufficient resource (people and £) Effective resource allocation Ability to influence partners / stakeholders Failure to identify and tackle health inequalities Strategic Plan does not set an appropriate or adequate strategic vision, direction and high level framework under which progress against the will be delivered Failure of IJB to map performance against delivery of Nine National Outcomes Change in IJB culture insufficient to deliver against Nine National Outcomes 				<ol style="list-style-type: none"> Strategic Plan Strategic Needs Assessment Transformation Plan IJB Organisational Development Plan IJB Governance Structures Directions issued to host organisations Performance Framework 		<ol style="list-style-type: none"> Clear Vision and Improvement Plan Transformation Plan Develop internal Capacity and Capability to co-design innovative models of service design Engage wider community and staff groups Stakeholder engagement plan (IJB) Protocol for Directions to NHS & Council to be further developed and implemented Continue to embed use of inequalities framework across the Partnership. 		
	New Corp Risk 3	Failure to develop an adequate and effective Strategic Plan.	<ol style="list-style-type: none"> Demographics modelling insufficient / wrong Failure to engage and consult with relevant stakeholders Failure to establish and sustain three yearly cycle of strategic commissioning Strategic needs not correctly identified Changes in political, social and economic context 				<ol style="list-style-type: none"> Strategic Planning Process Strategic Planning and Commissioning Team Performance & Intelligence Team Public Health Team Financial modelling Workforce Planning Strategic Planning Group 		<ol style="list-style-type: none"> IJB to develop and establish directives protocol and procedures Role/Governance clarification between IJB/Health and Social Care Management Team/H&SC Partnership Prioritisation Process that enables IJB to make investment and disinvestment decisions to be developed Further clarification / awareness of Strategic Commissioning Cycle Refinement & clarification of the key documents required to enable the IJB to fulfil its role as strategic commissioning body Develop and Build capacity and capability within system to transform Further develop Leadership knowledge and behaviour around improvement/transformation 		