



Dumfries and Galloway
IJB Finance, Performance and Quality

13th April 2023

This Report relates to
Item 6 on the Agenda

Proposal for Annual Performance Report 2022/23

Paper presented by Ananda Allan

For Approval

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List of Background Papers:	The Public Bodies (Joint Working) (Scotland) Act 2014 The Public Bodies (Joint Working) (Content of Performance Reports) (Scotland) Regulations 2014 Health and Social Care Integration Public Bodies (Joint Working) Scotland Act 2014 – Core Suite of Integration Indicators

	Scottish Government Guidance for Health and Social Care Integration Partnership Performance Reports Integration Scheme between NHS Dumfries And Galloway and Dumfries and Galloway Council Dumfries and Galloway IJB Health and Social Care Strategic Plan IJB Performance Management Framework 2022-25 Framework for Community Health and Social Care Integrated Services 2019	
Appendices:	Appendix 1 – Skeleton Annual Performance Report 2022/23	
Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	X
	2. Dumfries and Galloway Council	
	3. NHS Dumfries and Galloway	
	4. Dumfries and Galloway Council and NHS Dumfries and Galloway	

1. Introduction
1.1 Under the Public Bodies (Joint Working) (Scotland) Act 2014, the Integration Joint Board (IJB) is required to publish an Annual Performance Report (APR) each year.
1.2 In March 2022, the IJB published a new Strategic Commissioning Plan and Performance Management Framework. This report sets out proposals for the 2022/23 APR.
2. Recommendations
2.1 The IJB Finance, Performance and Quality Committee is asked to: <ul style="list-style-type: none"> Discuss and approve the plan and timescale for the 2022/23 IJB Annual Performance Report
3. Background and Main Report
3.1 Legislative requirements in relation to performance
3.2 Under the Public Bodies (Joint Working) (Scotland) Act 2014, the Integration Joint Board (IJB) is required to publish their Annual Performance Report (APR) by the end of July each year.
3.3 The Strategic Planning and Performance Officers Group shared a draft version of the 'Health and Social Care Integration Annual performance reports: statutory guidance (revised)' on 03 March 2023. There are no substantial changes in the revised guidance in relation to IJB annual report contents. By virtue of section 53 of the 2014 Act, an integration authority is required to have regard to this guidance when preparing a performance report. The new guidance will be shared for reference when it is available.

3.4 It is for integration authorities to decide the layout of their own performance reports. However, performance reports must meet the content requirements set out in the regulations, as described in the guidance.

3.5 **The regulations state that performance reports must include:**

- an assessment of performance in relation to the integration authority's strategic plan (our strategic commissioning intentions)
- an assessment of performance in relation to the national health and wellbeing outcomes
- a description of the extent to which arrangements set out in the strategic plan and the expenditure allocated in the financial statement have achieved, or contributed to achieving, the national health and wellbeing outcomes
- information on the integration authority's performance against the national indicators (including a comparison between the reporting year and the five preceding reporting years)
- information about the number of significant decisions that have been made outside of strategic planning and reasons for such decisions
- a description of the arrangements made in relation to consulting and involving localities, the proportion of the integration authority's total budget that was spent in relation to each locality, both the year which the report covers, and the 5 preceding years
- details of any inspections carried out relating to the functions delegated to the integration authority
- **Publication date of July 31 has been re-instated**

3.6 The 2022/23 APR will be the first APR relating to the new Strategic Commissioning Plan (SCP). The Performance Management Framework developed to support the SCP moved away from service delivery and takes a broader, population health and longer term population outcomes approach. This enables us to take performance reporting in a new direction, whilst still fulfilling the reporting regulations.

3.7 We propose the following structure (Appendix 1), which mirrors the 3 key mechanisms by which the IJB influences Health and Social Care and Support:

- development and promotion of strategy (planning and engagement activity)
- the integrated budget (finance and commissioning decisions)
- Directions (instructions to the delivery Partnership about how to spend the integrated budget)
- And; how we are getting on, using a range of performance indicators

3.8 In relation to making the APR accessible, we propose to produce an Easy Read version.

3.9 Proposed timescale:

- 13 April Agree approach (IJB Finance, Performance and Quality)
- tbc Apr/May IJB Workshop
- 26 May Share draft with Health and Social Care Leadership Group

- 01 June Open editorial drop-in offer to IJB
- 08 June Submit draft to IJB
- **22 June Approve draft at IJB (with provisional numbers)**
- **27 July Remit IJB Strategic Planning Delivery and Commissioning committee to sign off final version; publish**
- 28 Sept Share at full council (submit 4 Sept)
- 9 Oct Share at NHS Board (submit 22 Sept)

3.10 We are considering options for a public review to support the publication of the Annual Performance Report; which we bring back in June with the draft report.

4. Conclusions

- 4.1 We are proposing to make changes to the contents of the Annual Performance Report, whilst still fulfilling the reporting regulations.
- 4.2 The IJB Performance, Quality and Finance committee are asked to approve the plan and timescale for the 2022/23 IJB Annual Performance Report.

5. Resource Implications

- 5.1 It is anticipated that this new approach will not impact on the level of resource usually dedicated to producing the IJB's APR.

6. Impact on Integration Joint Board Outcomes, Priorities and Policy

- 6.1 Robust performance management arrangements are critical to the delivery of the Strategic Commissioning Plan.

7. Legal and Risk Implications

- 7.1 Regular performance reporting by the IJB is a legislative requirement.

8. Consultation

- 8.1 The content of this report has been shaped and influenced by the Strategic Planning and Transformation leadership team, the Chief Operating Officer and Chief Finance Officer.

9. Equality and Human Rights Impact Assessment

- 9.1 As this paper does not propose a change to Policy an Impact Assessment is not required.

10. Glossary

- 10.1 All acronyms must be set out in full the first time they appear in a paper with the acronym following in brackets.

APR	Annual Performance Report
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	IJB	Integration Joint Board
	SCP	Strategic Commissioning Plan